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# Analysis of the Empathic Concern Subscale of the Emotional Response Questionnaire in a Study Evaluating the Impact of a 3D Cultural Simulation

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## Abstract:

**Abstract Background** Empathic concern has been found to decline in health professional students. Few effective educational programs and a lack of validated scales are reported. Previous analysis of the Empathic Concern scale of the Emotional Response Questionnaire has reported both one and two latent constructs. **Aim** To evaluate the impact of simulation on nursing students' empathic concern and test the psychometric properties of the Empathic Concern scale. **Methods** The study used a one group pre-test post-test design with a convenience sample of 460 nursing students. Empathic concern was measured pre-post simulation with the Empathic Concern scale. Factor Analysis was undertaken to investigate the structure of the scale. **Results** There was a statistically significant increase in Empathic Concern scores between pre-simulation 5.57 (SD = 1.04) and post-simulation 6.10 (SD = 0.95). Factor analysis of the Empathic Concern scale identified one latent dimension. **Conclusion** Immersive simulation may promote empathic concern. The Empathic Concern scale measured a single latent construct in this cohort.

**Keywords:** empathic-concern, compassion, simulation, culture

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## Introduction

Empathy is a required attribute for all health professionals (Australian Medical Council, 2012; Nursing and Midwifery Board of Australia, 2006), and a key factor in patients' definitions of quality care (Regula, Miller, Mauer, & Marks, 2007). Empathy is also considered a basic component of all therapeutic relationships, with compelling research demonstrating the psychological and physiological benefits of empathetic engagement with patients (Brunero, Lamont & Coates, 2010; Doyle, Lennox & Bell, 2013; Post, 2011; Rakel et al., 2009; Sarinopoulos, 2012). Among several types of empathy, Empathic Concern (EC), an affective empathetic response linked to prosocial motivation and helping behaviour (Niezink, Siero, Dijkstra, Buunk, & Barelds, 2012), has been found to be a key mediator of important outcomes for both patients (Drwecki, Moore, Ward, & Prkachin, 2011; 2011) and healthcare professionals (Klimecki, Leiberg, Ricard, & Singer, 2014; Lamothe, Boujut, Zenasni, & Sultan, 2014). Internationally, a number of healthcare reports have identified a lack of EC as a contributing factor in patient neglect (Department of Health., 2002; Francis, 2013; Garling, 2008), resulting in a renewed focus on nursing education in this area (Papadopoulos & Ali, 2015).

There is currently a paucity of research on appropriate educational interventions to promote the development of health professional students' EC (Blomberg, Griffiths, Wengström, May, & Bridges, 2016; 2008; 2016),

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and a reported lack of validated measurement instruments (Papadopoulos & Ali, 2015; Sinclair et al., 2016). This paper profiles a study that assessed changes in nursing students' EC, following exposure to a 3D cultural simulation and evaluated the psychometric properties of the EC subscale of the Emotional Response Questionnaire.

## Background

### Empathy and empathic concern

Contemporary definitions of empathy vary between and within disciplines, however a growing body of empirical literature describes empathy as a multi-dimensional construct with cognitive and affective elements (Shamay-Tsoory, 2014; Singer & Klimecki, 2014). Cognitive empathy is understood to be form of psychological inference, enabling a person to perceive another person's subjective experience from their point of view; whereas affective empathy involves emotional resonance with, or the sharing of, the affective states of others (Shamay-Tsoory, 2014).

Empathic concern is a related but distinct affective construct defined as "*An emotional response of compassion and concern caused by witnessing someone else in need*" (Niezink et al., 2012, p. 544). EC was first described by social psychologists in discussions of altruism and prosocial behaviour in the 1970s (Coke, Batson, & McDavis, 1978). Following a number of neuroscientific studies Singer and Klimecki (2014, p. 876) concluded that the terms EC and compassion denote the same construct and defined this as "*A feeling of concern for another person's suffering which is accompanied by the motivation to help*".

### The impact of empathy on patients and health professionals

A number of studies have identified a relationship between healthcare professionals' empathy and EC, and patient outcomes. For example, Rakel et al. (2009), found that physician empathy and compassion displayed in one consultation, resulted in a reduction in the duration and severity of illness, and an increase in immune function, in patients with a common cold. EC has also been associated with improved patient safety and satisfaction, wound healing, treatment adherence, and sense of wellbeing (Post, 2011). EC has been found to be negatively correlated with staff burnout and associated with increased positive affect (Klimecki et al., 2014; Lamothe et al., 2014). Significantly, reduced levels of EC for other racial groups have been shown to be highly predictive of nurses' pain treatment decisions, a finding that is of particular concern in the context of widely reported racial disparities in the treatment of pain (Drwecki et al., 2011).

### Variability in levels of empathic concern

The dispositional tendency of individuals to react with EC to the suffering of others has been found to vary appreciably between individuals of different genders (Niezink et al., 2012) and of different ages (O'Brien, Konrath, Gruhn, & Hagen, 2013). A retrospective study that aggregated the findings of 72 studies undertaken with American college students ( $n = 13,737$ ), identified that levels of EC had declined by more than 48% in the preceding 30 years, with the steepest decline occurring since 2000 (Konrath, O'Brien, & Hsing, 2011). A number of studies have also reported a seemingly paradoxical decline in the empathy of nursing (Ward, Cody, Schaal, & Hojat, 2012) and medical students (Neumann et al., 2011), as they progress in their studies.

### Educational interventions to increase empathic concern

It has been unclear from the limited research to date whether educational programs for health professional students can promote increased levels of EC. A search of the literature did not identify any studies that had a primary aim of evaluating the impact of an educational intervention on levels of EC (Everson, Levett-Jones, & Pitt, under review). Fifteen studies were identified in the literature that had evaluated educational programs with empathy measures that include an EC subscale, or questions that measure EC. Among these studies only four reported increased empathy scale scores after students took part in a program and these studies did not separately report EC scores. The programs described in these four studies included a program of experiential communication skills training for nursing students (Cunico, Sartori, Marognolli, & Meneghini, 2012); a module

on death and dying that incorporated the film *Wit* for pharmacy students (Manolakis, Olin, Thornton, Dolder, & Hanrahan, 2011); a viewing of the film *Wit* for nursing and allied health students (Hunter, 2008); and mindfulness based stress reduction training for medical and pre-medical students (Shapiro, Schwartz, & Bonner, 1998).

Studies that have investigated various mediators of EC have found that instructions which increase perspective taking (Dovidio et al., 2010), and detailed processing (Wolfin, Corneille, Yzerbyt, & Förster, 2011) promote EC. Specific training in EC has also been found to be effective in neuroscientific studies that have demonstrated changes in relevant neural networks following short term training programs involving meditation (Singer & Klimecki, 2014).

## Measuring empathetic concern

Two scales have been instrumental in developing understanding of the EC construct and have been widely used as psychometric measures. The first is the Interpersonal Reactivity Index (IRI) (Davis, 1980), a dispositional measure of empathy that includes a subscale that measures EC. This subscale includes items such as: *I often have tender or concerned feelings for people less fortunate than me.*

The second scale, titled the Emotional Response Questionnaire (ERQ) (Batson, Fultz, & Schoenrade, 1987; Coke et al., 1978), was developed for use in the general population and measures EC and personal distress felt at a point in time, that is, as a psychological state rather than a dispositional trait. Variations of this scale have been used in over 55 studies (Niezink, 2008). Following a decade of research and factor analysis in six studies, Batson, Fultz, and Schoenrade (1987) identified a distinct subscale comprised of six adjectives that measure EC: *sympathetic, compassionate, moved, tender, warm and soft-hearted.*

Batson et al.'s (1987) EC scale uses a Likert rating scale (ranging from 'not at all' to 'very much') and participants are instructed to report how strongly they experience the listed feelings in response to stimuli such as video, audio or written scenarios depicting others in distress. The EC scale has demonstrated good reliability when used in different settings and with different cohorts (Cronbach alphas range from 0.79–0.94) (Niezink et al., 2012). Validity of the EC scale is supported by research utilising functional magnetic resonance imaging, with EC scores found to correlate highly with activation of empathy-related brain networks (Hein, Silani, Preuschoff, Batson, & Singer, 2010).

Recent research has raised the question of whether the EC scale of the ERQ is comprised of two latent constructs, described as Sympathy and Tenderness (Niezink et al., 2012). These two dimensions were proposed by Niezink et al. in light of studies that suggested people differentially experience sympathy in response to a current need, and tenderness in response to vulnerability (Lishner, Batson, & Huss, 2011). Whereas factor analysis conducted in six previous studies identified the EC scale as representing a single latent dimension (Batson et al. as cited in Niezink et al., 2012). Analysis reported by Batson et al. found that all scale items had factor loadings greater than 0.40 on the single factor Empathic Concern (Batson et al. as cited in Niezink et al., 2012).

In six of nine studies conducted by Niezink et al. (2012), factor analysis confirmed the hypothesised two latent dimensions: Tenderness (composed of the items: *tender, warm and soft-hearted*) and Sympathy (represented by the items *compassionate, sympathetic and moved*). However, the item *moved* showed a variable pattern of loadings in the remaining three studies, and significant cross loadings. Cronbach's alpha for the subscales across the nine studies ranged from 0.63 to 0.89. Thus, while the EC scale has consistently demonstrated reliability, the question remains whether EC is a single psychological construct, or is comprised of two latent dimensions.

## Study aims

This study formed one component of a larger mixed methods multisite study. Previous papers have reported on student satisfaction with the simulation experience (Courtney-Pratt et al., 2015) and changes in empathy (rather than EC) using a modified version of the Kiersma-Chen Empathy Scale (Everson et al., 2015).

The aims of the current study were to:

1. Evaluate changes in nursing students' EC following exposure to a 3D cultural simulation;
2. Explore the psychometric properties of the EC scale of the Emotional Response Questionnaire.

## Methods

### Research design

A one group pre-test post-test design was used to evaluate changes in nursing students' EC following exposure to a 3D immersive cultural simulation. Due to the one group design and the nature of the educational simulation, the blinding of participants and outcome assessors could not be achieved. However, participants were not observed by researchers while completing the EC scale (the outcome measure) and responses were anonymous. To minimise risk of bias, invitation to participate in the study was extended by staff who were not involved in teaching or assessment in the course; the simulation, debriefing and EC questionnaire did not refer to empathy or EC explicitly; pre-post testing was conducted within a period of around 40 minutes; students were asked not to discuss the simulation with students who had not yet taken part in the simulation; and while the simulation was a mandatory course component, participation in the research was voluntary and did not impact assessment in the course.

### Setting

The study was conducted at a large semi-metropolitan university in New South Wales, Australia where the Bachelor of Nursing (BN) program is offered across three campuses. Data were collected between April and June 2014.

### Ethical considerations

Ethical approval for the study was sought and obtained from the university ethics committee prior to contacting potential participants (Approval Number H-2014-0060). All participants provided written informed consent and were able to withdraw consent at any time during the study period. Participants were assigned digital identifiers to link pre-post responses.

### Sampling and recruitment

Convenience sampling was used with all second year BN students (530) undertaking the 3D cultural simulation invited to participate in the study. A formal invitation accompanied by a participant information statement was provided by email and on the university electronic learning management system (Blackboard™). Potential participants were provided with the opportunity to ask questions prior to agreeing to participate.

### Educational intervention

The educational intervention evaluated in this study consisted of a high-fidelity 3D cultural simulation developed in collaboration with the staff and clients from the Northern Settlement Services for Migrants and Refugees. The theoretical basis for the intervention was the premise that a simulated experience could increase EC for others having similar real life experiences, and empirical literature that has identified prior experience (Hodges, Kiel, Kramer, Veach, & Villanueva, 2010) and perspective taking (Dovidio et al., 2010) as predictors of EC. Cultural empathy was selected as the focus of the simulation due to the increasing cultural diversity of patient populations internationally (Alonso, 2011), significant health disparities (Henderson & Kendall, 2011; Lee et al., 2012; World Health Organization Regional Office for Europe, 2010) and continued reports of disparities in healthcare and adverse healthcare outcomes related to a lack of culturally competent healthcare practice (Divi, Koss, Schmaltz, & Loeb, 2007; Horner et al., 2004; Palmer et al., 2013; Smedley, Stith, & Nelson, 2009).

Results from four randomised controlled trials indicate that experiential simulations where learners are asked to *'literally stand in the patient's shoes'* are a beneficial approach for teaching empathy (Bosse, Schultz, & Nickel, 2012; 2009; Daepen, Fortini, & Bertholet, 2012; Henry, Ozier, & Johnson, 2011), particularly when supported by opportunities for dialogue and debate. Therefore the simulation described below was specifically designed to provide and immersive point of view experience.

The simulation included a briefing, immersive activity and debriefing facilitated by two trained educators. Students attended the simulation in pairs and during the briefing they were told to imagine that they had been

admitted to a small community hospital after becoming acutely unwell in a developing country. They then laid down on a bed in the simulation unit and, wearing 3D point of view glasses and headphones, watched a 10-minute 3D video of a hospital scenario that depicted a combination of cultural behaviours, symbols and metaphors incongruent with Anglo-Celtic Australian culture. In the unfolding scene languages other than English were spoken and the healthcare practices were culturally unfamiliar to the participants. To enhance the authenticity and immersive nature of the simulation, students were exposed to a range of unfamiliar smells and tactile stimuli during the simulation. Once six students had viewed the video they attended a 30 minute debriefing and guided reflection.

## Data collection

The EC scale was prefaced by a brief demographic questionnaire and pre-post versions included a scenario accompanied by an image of a woman from a culturally and linguistically diverse (“CALD”) background who appeared distressed. The scenarios were developed with reference to the literature describing the experiences encountered by hospitalised patients from CALD backgrounds. The images and pre-post-scenarios were essentially the same with minor details such as the patients’ age and health condition changed. The scenarios were reviewed by an expert panel and pilot tested prior to use in the current study.

Data collection took place during semester one 2014. The EC scale was completed by participants prior to and following the simulation. At each time-point participants were instructed to respond to the questions on the EC scale in relation to the written scenario and accompanying image.

## Data analysis

Statistical analysis was performed using IBM SPSS Statistics version 22.0 for Windows (IBM Corp, 2013), and the packages paramap (O’Connor, 2015), psych (Revelle, 2015) and lavaan (Rosseel, 2012), in R version 3.2.1 (R Core Team, 2015). Pre-post changes in EC were analysed using a paired samples *t*-test. While non-parametric tests have been recommended for data derived from ordinal rating scales, studies have found the paired samples *t*-test to be robust with ordinal (de-Winter & Dodou, 2010) and non-normally distributed data (Lumley et al., 2002).

Bivariate Pearson’s correlations were performed to explore the relationship between the demographic variables (gender, age and employment status) and pre-post EC scores. Correlation coefficient values of  $\pm 0.1$ ,  $\pm 0.3$ , and  $\pm 0.5$  were considered to be small, medium, and large effect sizes respectively (Ratner, 2013). Simple logistic regression was conducted to further explore the association between these variables.

Exploratory Factor Analysis (EFA) and Confirmatory Factor Analysis (CFA) were undertaken to investigate the factor structure of the EC scale. EFA incorporates a range of methods that aim to describe the underlying relationships between variables, and CFA employs structural equation modelling to test whether the identified or hypothesised models fit the data (Holgado-Tello, Chacón-Moscoso, Barbero-García, & Vila-Abad, 2010).

## Data cleaning

Missing data were replaced with imputed values using expectation maximisation. This method imputes missing values based on iterative maximum likelihood estimation of model parameters and missing data, and has been found to produce less biased estimations than other methods (Nelwamondo, Mohamed, & Marwala, 2007).

## Assumption testing for factor analysis

A number of tests were conducted to examine whether the data met assumptions for factor analysis. Sampling adequacy was evaluated based on the results of a simulation study by Mundfrom, Shaw and Ke (2005) that identified sample size requirements based on communalities, as well as the number of factors and variables in each factor. The Kaiser-Meyer-Olkin Measure of Sampling Adequacy, and Bartlett’s Test of Sphericity were also conducted to evaluate sampling adequacy for factor analysis (Pett, Lackey, & Sullivan, 2003).

Data distribution was examined to guide the selection of appropriate methods in the factor analysis. An assumption of multivariate normality underlies common methods utilised in EFA and CFA. Violation of this assumption has been shown to lead to biased estimates (Brown & Moore, 2012; McDonald, 2014; Osborne & Waters, 2002; Treiblmaier & Filzmoser, 2009). Values of kurtosis greater than 20 have been shown to undermine



Maximum Likelihood (ML) based approaches in EFA (Harrington as cited in Peng & Woodrow, 2010), while kurtosis greater than 7 and skewness above 2 are problematic in CFA (Newsom, 2015).

## Factor analysis

Both EFA and CFA were analysed on the polychoric correlation matrix using pre-test data. The default Pearson correlations assume equal interval scales of measurement and have been shown to produce biased factor loadings when analysing ordinal data (Holgado-Tello et al., 2010), whereas polychoric correlations more accurately reproduce the measurement model (Holgado-Tello et al., 2010) and are less sensitive to distributional assumptions (Zygmont & Smith, 2014).

## Determining the number of factors to extract

It is recommended that decisions about the number of factors to extract should be informed by the results of multiple tests. Parallel analysis and the minimum average partial (MAP) procedure have been found to perform reliably and with higher accuracy than the eigenvalues greater than one rule and the scree test (Gaskin & Happell, 2014). Parallel analysis identifies factors that account for greater variance than randomly generated or chance factors, and the MAP procedure identifies components based on systematic variance (Zygmont & Smith, 2014).

McCoach, Gable, and Madura (2013) recommend conducting parallel analysis using both principal component analysis (PCA) and principal axis factoring (PAF), in addition to the MAP procedure and examination of the pattern matrix. Some authors have recommended the use of PCA based parallel analysis above PAF based parallel analysis (Gaskin & Happell, 2014; O'Connor, 2015). The PCA method accounts for all of the variance in the correlation matrix, while the PAF method is based on the variance shared between variables (O'Connor, 2015).

## Factor extraction and rotation

Based on the results of the parallel analysis and MAP both one and two factor solutions of the EC scale were extracted with ordinary least squares (OLS). OLS is not based on distributional assumptions, and has been found to produce loading matrices with less bias and error than other extraction methods when analysing ordinal data (Coughlin, 2013). Oblimen (oblique) rotation was used to rotate the pattern matrix. Oblique rotation methods are recommended when analysing social and psychological constructs as they assume factors correlate (Gaskin & Happell, 2014). Items with loadings greater than 0.40 are described as having a significant relationship with a factor, while loadings above 0.30 are considered acceptable in personality research (Laher, 2010). Factors with less than three variables are considered weak and unstable (Costello & Osborne, 2005), although factors with two variables may be acceptable if the variables correlate highly with each other and are relatively uncorrelated with variables on other factors (Yong & Pearce, 2013).

## Confirmatory factor analysis

Confirmatory Factor Analysis was estimated with robust weighted least squares (WLSMV). WLSMV has been found to reliably fit models in the presence of normality violations and when analysing ordinal data (Newsom, 2015). Goodness of fit was evaluated using the suggested Root Mean Square Error of Approximation ("RMSEA") (less than 0.06) and Weighted Root Mean Square Residual ("WRMR") (approximately less than 1) indices of model fit. The RMSEA and WRMR have been recommended as appropriate indicators of model fit when using WLSMV estimation (Newsom, 2015).

## Internal consistency reliability of the EC scale

Cronbach's alpha coefficients for the one factor model and for both subscales of the two-factor model, were used to evaluate the internal consistency reliability of the scale. Alpha values above: 0.90 are described as excellent, above 0.80 as good, and above 0.70 as acceptable, while alpha below 0.50 is unacceptable (George & Mallery, 2000).

## Results

### Demographics

There were 460 participants from a population of 530 (response rate of 87%). The majority were female (88%) and their ages ranged from 18–60 years, with an average of 27 years. The participants' country of birth and first language varied, however the majority had been born in Australia (84%) and spoke only English (86%).

### Research Aim 1: To evaluate changes in nursing students' empathic concern following exposure to a cultural empathy simulation

Mean EC scores were 5.57 (SD = 1.04) pre-simulation and 6.10 (SD = 0.95) post-simulation; this increase was statistically significant ( $t(459) = -12.48, p < 0.000, 95\% \text{ CI } [-0.061, -0.44]$ ) and represents a medium effect size (Cohen's  $d = 0.53$ ) (Walker, 2008). Mean scores and standard deviations for each of the six EC scale items are reported in Table 1. There were no significant correlations between pre-or post EC scores and the demographic variables of age, gender and employment status. Table 2 lists the correlation values among the variables. Subsequent regression analysis indicated that the variance in pre-or post EC scores was not explained by any of the demographics.

**Table 1:** Mean scores and standard deviations for EC scale items.

EC Scale Item	Pre-test Mean $\pm$ SD	Post-Test Mean $\pm$ SD
Sympathetic	6.17 $\pm$ 1.02	6.61 $\pm$ 1.93
Moved	5.31 $\pm$ 1.43	5.98 $\pm$ 1.22
Compassionate	6.10 $\pm$ 0.99	6.49 $\pm$ 0.85
Tender	5.23 $\pm$ 1.40	5.81 $\pm$ 1.28
Warm	5.03 $\pm$ 1.66	5.65 $\pm$ 1.49
Soft-hearted	5.61 $\pm$ 1.34	6.07 $\pm$ 1.20

**Table 2:** Pearson correlations between demographic variables and EC scale scores.

		Pre-simulation EC	Post-simulation EC
Gender	Pearson Correlation	0.002	0.044
	Sig. (2-tailed)	0.959	0.348
	N	460	460
Employment	Pearson Correlation	-0.001	0.045
	Sig. (2-tailed)	0.977	0.335
	N	460	460
Age	Pearson Correlation	0.003	-0.040
	Sig. (2-tailed)	0.941	0.395
	N	459	459

### Research Aim 2: To examine the factor structure of the EC scale

Previous studies using the EC scale have identified one and two factors. Therefore to better understand the underlying dimensions of the EC scale Exploratory (EFA) and Confirmatory (CFA) factor analysis were employed.

### Missing data, sampling adequacy and data distribution

Data screening using Little's MCAR test (Little, 1988) indicated that missing data (6 cases, 0.22%) were random ( $p = 0.51$ ). Assessment of sampling adequacy based on Mundfrom, Shaw and Ke's (2005) recommendations

indicated that a sample size of 320 or greater met Excellent-Level criterion for factor analysis. The Kaiser-Meyer-Olkin Measure of Sampling Adequacy (0.86) and Bartlett’s Test of Sphericity ( $\chi^2 = 1952.65$   $df = 15$ ,  $p = 0.000$ ) also indicated that the sample size was appropriate for factor analysis (Pett et al., 2003).

Mardia’s test of multivariate normality reported a high and statistically significant level of kurtosis identifying the data as multivariate non-normal ( $g_2p = 83.43$ ,  $z = 38.77$ ,  $p = 0.00$ ). Multivariate skewness was 16.11 and non-significant ( $g_1p = 16.11$   $\chi^2 = 1235.68$ ,  $p = 1.02$ ). Kurtosis exceeded the suggested cut-off ranges for ML approaches to EFA and CFA.

### Exploratory factor analysis

The original and revised MAP tests as well as parallel analysis based on PCA, suggested a one factor solution for the EC scale. The eigenvalue of the identified factor in the parallel analysis was 4.22 compared to the highest randomly generated eigenvalue of 1.20. However a parallel analysis using PAF identified two factors. There was a substantial decline in eigenvalues from the first (eigenvalue 3.88) to second (eigenvalue 0.34) factor in the PAF based parallel analysis, suggesting a weak second factor.

#### One factor EFA

The rotated factor loadings of one and two factor solutions are presented in Table 3. The single factor explained 62 % of the variance and all items demonstrated high loadings (0.72–0.85). The communalities were all above 0.40 (0.52–0.72): the suggested cut-off below which additional factors are indicated (Costello & Osborne, 2005).

**Table 3:** Factor analysis: rotated standardised loadings (pattern matrix) and proportion of variance explained by one and two factor solutions.

EC Scale Item	Model 1F Loadings	h2	$\mu^2$	Model 2 F1 Loadings	Model 2 F2 Loadings	h2	$\mu^2$
Sympathetic	<b>0.72</b>	0.52	0.48	−0.05	<b>0.90</b>	0.75	0.25
Moved	<b>0.78</b>	0.61	0.39	<b>0.47</b>	<b>0.37</b>	0.60	0.40
Compassionate	<b>0.78</b>	0.60	0.40	0.13	<b>0.76</b>	0.73	0.27
Tender	<b>0.85</b>	0.72	0.28	<b>0.85</b>	0.06	0.80	0.20
Warm	<b>0.76</b>	0.58	0.42	<b>0.91</b>	−0.10	0.71	0.29
Soft-hearted	<b>0.81</b>	0.66	0.34	<b>0.57</b>	<b>0.30</b>	0.64	0.36
Proportion of Variance	0.62			0.39	0.31		

F = factor; h2 = communalities;  $\mu^2$  = unique variance.

#### Two factor EFA

In the two-factor model, the items *tender* and *warm* loaded exclusively (loading of 0.85 and 0.91) on the first factor, and *sympathetic* and *compassionate* exclusively (loadings of 0.90 and 0.76) on the second factor. *Moved* and *soft-hearted* cross-loaded, with higher loadings on the first factor. The two-factor model cumulatively explained 70 % of the variance and demonstrated higher communalities than the one factor solution (0.60–0.80). The inter-factor correlation of the two extracted factors (0.69), was slightly below the value (>0.70) that is suggested to indicate significant overlap and a lack of distinction between constructs (Hair, 2006). The correlation matrix (Table 4) was examined to identify whether a factor with two variables was justified by high correlations (>0.70) between those items, and a lack of correlation with other items (Yong & Pearce, 2013). *Compassionate* and *sympathetic* were highly correlated (0.77), however *compassionate* and *sympathetic* were moderately to highly correlated (0.45–0.69) with the other four variables.

**Table 4:** The polychoric correlation matrix.

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Sympathetic	1.00	0.61	0.77	0.57	0.45	0.61
Moved	0.61	1.00	0.67	0.69	0.59	0.62
Compassionate	0.77	0.67	1.00	0.65	0.55	0.64
Tender	0.57	0.69	0.65	1.00	0.77	0.73
Warm	0.45	0.59	0.55	0.77	1.00	0.68
Soft-hearted	0.61	0.62	0.64	0.73	0.68	1.00

## Confirmatory factor analysis

As the results of the EFA provided some support for a two-factor model, which replicated, in part, previous analysis distinguishing the dimensions Sympathy and Tenderness (Niezink et al., 2012), both the one and two factor models were analysed in CFA.

In line with the factor loadings identified in the EFA, the two-factor model was specified with *sympathetic* and *compassionate* on one factor and the remaining variables on the second factor. Initial CFAs suggested a degree of misspecification in both the one factor and two factor models. Neither model achieved acceptable fit using the RMSEA and WRMR indices. The one factor model had a RMSEA of 0.157 and WRMR of 1.508, and the two factor model a RMSEA 0.097 and WRMR of 0.934.

## Modified one and two factor models

Based on modification indices that reported large error correlations (21–68), the one factor model was respecified allowing *sympathetic* and *compassionate* to co-vary and *tender* and *warm* to co-vary. The two-factor model was respecified with *tender* and *warm* co-varying. There was some theoretical justification for these modifications: the terms sympathy and compassion have been described as synonymous (Singer & Klimecki, 2014) and tender and warm have been found to be highly correlated in factor analysis of other psychometric measures (Huang, 2001). Standardised factor loadings were between 0.82 and 1.00 for the respecified one factor model and between 0.89 and 1.00 for the respecified two factor model. The modified one and two factor models both demonstrated satisfactory fit with identical RMSEA (0.06) and WRMR (0.66) values.

## Internal consistency reliability of the EC scale

The subscales of the two-factor model demonstrated good internal consistency on the pre-simulation data (Sympathy subscale  $\alpha$  0.81, Tenderness subscale  $\alpha$  0.85), however the Cronbach's alpha for the Sympathy subscale on the post-simulation data was unacceptably low ( $\alpha$  0.28). Cronbach's alpha for the Tenderness subscale on the post-simulation data was 0.87. The one factor model demonstrated good internal consistency ( $\alpha$  0.87) on the pre-test data and acceptable internal consistency ( $\alpha$  0.78) on the post-test data.

## Discussion

### Research aim 1: To evaluate changes in nursing students' empathic concern following exposure to a 3D cultural simulation

This study is the first to report on the impact of an immersive 3D simulation on health professional students' EC for people from CALD backgrounds. A significant increase in EC was observed pre-post simulation. This result is consistent with studies that have identified cognitive perspective taking as a promoter of EC. However, the intervention in this study differed fundamentally from cognitive perspective taking interventions: in the current study participants experienced an intensive, albeit simulated, firsthand experience of being the "other". In addition to the role that perspective taking may have played in eliciting EC, an enhanced connection with 'out group' members (i. e. patients from a different cultural group) may have contributed to increases in EC. Social in-group membership, including racial group membership, has been identified as a substantial predictor of empathy and EC (Dovidio et al., 2010). The capacity for such a brief educational intervention to increase EC for CALD patients is a salient finding in light of previous research that identified EC as the key mitigator of race-based pain treatment biases (Drwecki et al., 2011).

## Research aim 2: To examine the factor structure of the EC scale

Factor analysis of a two-factor model of the EC scale identified a similar pattern of loadings to those reported by Niezink et al. (2012). Their findings were replicated in the current study to the extent that the Sympathy and Tenderness factors contained the same items, and the item *moved* cross loaded. However the approach in the current study differed from that taken by Niezink et al: the factor analysis in their study was based on a hypothesised two factor structure rather than the use of statistical tests to identify the number of dimensions. A number of findings from the factor analysis in the current study suggested that a one factor solution better explained the structure of the EC scale in this sample. Firstly, in deciding the number of factors to extract, the use of PCA based parallel analysis has been recently recommended as more appropriate than PAF (Gaskin & Happell, 2014; O'Connor, 2015). With the exception of the PAF based parallel analysis in this study, all tests suggested a one factor structure. In addition, all items demonstrated high loadings on a single factor in the EFA. While there was some evidence for a two-factor structure, with the variables *compassionate* and *sympathetic* correlating highly, these variables also correlated with variables on the second factor, suggesting that a two-item factor may not be justified. In addition, Cronbach's alpha for the Sympathy subscale on the post-test data demonstrated unacceptably low internal consistency. Cronbach's alpha was acceptable for the single factor scale on both the pre-test and post-test data.

The results of the CFA did not identify either model as demonstrating superior fit. Despite remaining questions as to whether EC is comprised of Sympathy and Tenderness dimensions or represents a single latent construct, the research to date, confirmed by the current study, identifies the EC scale as consistently demonstrating internal consistency reliability and strong item factor loadings. Previous research reporting high correlations between EC scale scores and activation of empathy related brain networks, has further confirmed the validity of the scale as a measure of EC. The design of the scale as a state measure, which permits EC to be measured in response to particular groups or individuals, as well as its brevity, contributed to its utility in measuring changes in EC over a short time period in this study.

## Study implications

Healthcare professionals' EC has been shown to directly impact patient outcomes and satisfaction with care (Post, 2011; Scott, 2011). EC also mitigates racial biases in the assessment and management of pain (Drwecki et al., 2011) and is protective against burnout and compassion fatigue (Klimecki et al., 2014; Lamothe et al., 2014). While studies have found that the EC of health professional students declines as they progress in their studies (Ward et al., 2012), a search of the literature did not identify any studies that reported on methods to promote the EC of health professional students. This study demonstrated that a brief 3D simulation can positively impact nursing students' EC towards CALD patients. This result may be of interest to educators and researchers involved in health professional education. Additionally, this study conducted factor analysis of the EC subscale of the ERQ in a large cohort of nursing students and the findings of this analysis may contribute to ongoing research into the widely used scale, as well as to understandings of the empathic concern construct in nursing and other disciplines. Finally, the nursing literature has reported a lack of validated scales to measure the construct compassion and this study highlights the utility and validity of the EC scale as a measure of the synonymous construct: empathic concern.

## Limitations

Although the improved EC scores are encouraging, it should be noted that the extent to which simulation may lead to long term changes in participants' EC for CALD patients and how this may impact their clinical practice is not known. Additionally, the relative contributions of the simulation and the debriefing to the increased EC scores was not evaluated. Other limitations to the study include the lack of a control group and blinding which would have allowed exclusions of alternative explanations for increased scores. Additionally, although this study benefited from a large sample size, generalisability to other populations and contexts cannot be assumed.

## Conclusion

The 3D immersive cultural simulation appeared to promote increased EC for patients from CALD backgrounds. Factor analysis of the EC scale of the ERQ suggested that the scale measures one latent dimension: empathic

concern. Consistent with other studies the EC scale demonstrated high internal consistency and is thus a reliable tool for measuring EC. Further research is needed to examine whether simulation education promotes enduring changes in levels of EC.

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